



3744  
#12  
7/8/03

TRANSMITTAL LETTER			Case No. 10541-193 Visteon No. V200-0198
Serial No. 10/066,290	Filing Date January 31, 2002	Examiner Unassigned	Group Art Unit 3744
Inventor(s) Peter Rex Gawthrop et al.			
Title of Invention RECEIVERLESS AIR CONDITIONING SYSTEM			

TO THE COMMISSIONER FOR PATENTS

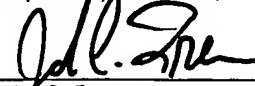
Transmitted herewith is Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; Ten (10) Cited References; Postcard Evidencing Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x .42 =			x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =			+ \$280 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 06-1500 (VISTEON GLOBAL Technologies, INC) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 06-1500. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 06-1500. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

RECEIVED

JUL 08 2003

TECHNOLOGY CENTER R3700

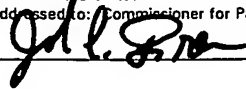
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313, on June 30, 2003.

Date:

June 30, 2003

Signature:





I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on June 30, 2003.

Name of Registered Representative: *John C. Freeman*  
John C. Freeman, Reg. No. 34,483  
*June 30, 2003*  
Date of Signature

**RECEIVED**  
JUL 08 2003  
TECHNOLOGY CENTER R3700

VISTEON CASE NO. V200-0198  
BRINKS HOFFER CASE NO. 10541/193

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: )  
 )  
Peter Rex Gawthrop et al. )  
 ) Group Art Unit: 3744  
Serial No.: 10/066,290 )  
 ) Examiner: unassigned  
Filed: January 31, 2002 )  
 )  
For: RECEIVERLESS AIR )  
CONDITIONING SYSTEM )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of the references are enclosed along with a completed copy of Form PTO-1449.

## **I. DISCLOSURE**

### **A. U.S. Patents**

<b><u>Patent No.</u></b>	<b><u>Inventor</u></b>	<b><u>Issue Date</u></b>
5,101,890	Aoki et al.	04/07/92
5,178,211	Bauer et al.	01/12/93
6,003,592	Yamamoto et al.	12/21/99

### **B. Foreign Patent References**

<b><u>Reference No.</u></b>	<b><u>Country</u></b>	<b><u>Publication Date</u></b>
220,299	U.K.	11/02/25
362,781	U.K.	12/10/31
841,951	U.K.	07/20/60
1 447 282	U.K.	08/25/76
0 255 313 A2	E.P.	02/03/88
0 379 701 A1	E.P.	08/01/90
2 346 680 A	U.K.	08/16/00

## **II. DISCUSSION**

### **A. U.S. Patent No. 5,101,890**

The '890 patent was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom. An X-type reference is a document indicating lack of novelty or inventive step.

### **B. U.S. Patent No. 6,003,592**

The '592 patent was cited as an X-type reference in a Search Report regarding a

corresponding application filed in the United Kingdom.

**C. U.K. Patent Reference No. 220,299**

The '299 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**D. U.K. Patent Reference No. 362,781**

The '781 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**E. U.K. Patent Reference No. 841,951**

The '951 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**F. U.K. Patent Reference No. 1 447 282**

The '282 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**G. E.P. Patent Reference No. 0 255 313**

The '313 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**H. E.P. Patent Reference No. 0 379 701**

Based solely on the drawings and the attached English-language Abstract, the '701 reference is pertinent because it appears to disclose a heat exchanger that uses parallel extending flat tubes and corrugated ribs. It is believed that U.S. Patent No. 5,178,211 corresponds to the '701 reference.

The '701 patent reference was cited as an X-type reference in a Search Report regarding a

corresponding application filed in the United Kingdom.

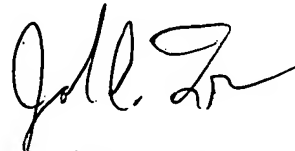
**I. U.K. Patent Reference No. 2 346 680**

The '680 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

**III. CONCLUSION**

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Freeman".

John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

Dated: June 30, 2003